# Learning Discrimination Specific, Self-Collaborative and Nonlinear Model

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#### Outline

#### Introduction Sparse Models

#### Proposed Model

Overview Proposed Model Learning Algorithm

#### Evaluation of the Proposed Approach

#### Conclusions

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# Backgrounds

- Introduction

Sparse Models

# Synthesis Model for Sparse Representation

Synthesis model or regression model with sparsity regularized penalty synthesizes data sample  $\mathbf{x} \in \mathbb{R}^N$  as an approximation by a sparse linear combination  $\mathbf{y} \in \mathbb{R}^M$ ,  $\|\mathbf{y}\|_0 \ll M$ , of a few vectors  $\mathbf{d}_m \in \mathbb{R}^N$ , from a dictionary  $\mathbf{D} = [\mathbf{d}_1, ..., \mathbf{d}_M]$ , i.e.,  $\mathbf{x} = \mathbf{D}\mathbf{y} + \mathbf{z}$ .



- Introduction

Sparse Models

#### Analysis Model for Sparse Representation

Analysis model uses a dictionary  $\Phi \in \mathbb{R}^{M \times N}$  with M > N to analyze the data sample  $\mathbf{x} \in \mathbb{R}^N$ . This model assumes that the product of  $\Phi$  and  $\mathbf{x}$  is sparse, i.e.,  $\Phi \mathbf{x} = \mathbf{y}$  with  $\|\mathbf{y}\|_0 = M - s$ ,  $0 \le s \le M$ .



Introduction

Sparse Models

# Transform Model for Sparse Representation

**Transform model** assumes that the data sample  $\mathbf{x} \in \mathbb{R}^N$  is approximately sparsifiable under a linear transform  $\mathbf{A} \in \mathbb{R}^{M \times N}$ , i.e.,  $\mathbf{A}\mathbf{x} = \mathbf{y} + \mathbf{z}$ , where  $\mathbf{y} = \mathcal{T}(\mathbf{x})$ ,  $\|\mathbf{y}\|_0 \ll M$  and  $\mathbf{z} \in \mathbb{R}^M$  is an error vector in transform domain.



- Introduction

Sparse Models

### Transform Model for Sparse Representation



► Given A, and sparsity s, transform sparse coding is:

$$\widehat{\mathbf{y}} = \arg\min_{\mathbf{y}} \|\mathbf{A}\mathbf{x} - \mathbf{y}\|_2^2, \text{ s.t. } \|\mathbf{y}\|_0 \le s$$

- ➤ ŷ computed exactly by a thresholding Ax to the s largest magnitude elements ⇒ Sparse coding is cheap!
- Signal recovered as  $A^{\dagger}y$
- **z** is error term in the **transform domain**

- Introduction

Sparse Models

# Unstructured Transform Learning

$$\left( \widehat{\mathbf{A}}, \widehat{\mathbf{Y}} \right) = \arg \min_{\mathbf{A}, \mathbf{Y}} \underbrace{ \| \widehat{\mathbf{AX}} - \mathbf{Y} \|_{F}^{2}}_{\text{s.t.} \| \mathbf{y}_{k} \|_{0} \leq s, \forall k } \overset{\text{Sparsification Error}}{\underset{\mathbf{X}, \mathbf{Y}}{\underbrace{ \| \widehat{\mathbf{A}} \mathbf{X} - \mathbf{Y} \|_{F}^{2} }} + \underbrace{ \widehat{\mathbf{\Omega} (\mathbf{A})}_{\mathbf{\Omega} (\mathbf{A})} ,$$

- $\mathbf{X} = [\mathbf{x}_1 \mid \mathbf{x}_2 \mid ... \mid \mathbf{x}_K] \in \mathbb{R}^{N \times K}$ : matrix of training signals
- $\mathbf{Y} = [\mathbf{y}_1 \mid \mathbf{y}_2 \mid ... \mid \mathbf{y}_K] \in \mathbb{R}^{M \times K}$ : matrix of sparse codes for  $\mathbf{X}$
- Sparsification Error measures deviation of data in a transform domain
- Ω(A) penalizes the information loss in order to avoid trivially unwanted matrices, e.g., matrices that have repeated or zero rows.

Overview

# **Approach Overview**

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Overview

#### General Block Diagram



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Overview

### General Block Diagram



- Overview

#### General Block Diagram



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Overview

### General Block Diagram



Proposed Model

# Joint Modeling with Collaboration

•  $p(\mathbf{x}_{c,k}, \mathbf{Y}_{\{c,k\}}, \boldsymbol{\theta}, \mathbf{A}) = p(\mathbf{x}_{c,k}, \mathbf{Y}_{\{c,k\}}, \boldsymbol{\theta} | \mathbf{A}) p(\mathbf{A})$ 

• with  $p(\mathbf{x}_{c,k}, \mathbf{Y}_{\{c,k\}}, \boldsymbol{\theta} | \mathbf{A}) = p(\mathbf{x}_{c,k} | \mathbf{Y}_{\{c,k\}}, \mathbf{A}) \qquad \underbrace{p(\boldsymbol{\theta}, \mathbf{Y}_{\{c,k\}})}_{(c,k)}$ 

Proposed Model

# Joint Modeling with Collaboration

• and  $p(\mathbf{x}_{c,k}|\mathbf{Y}_{\{c,k\}},\mathbf{A})$ 

• 
$$p(\mathbf{x}_{c,k}, \mathbf{Y}_{\{c,k\}}, \boldsymbol{\theta}, \mathbf{A}) = p(\mathbf{x}_{c,k}, \mathbf{Y}_{\{c,k\}}, \boldsymbol{\theta} | \mathbf{A}) p(\mathbf{A})$$

• with 
$$p(\mathbf{x}_{c,k}, \mathbf{Y}_{\{c,k\}}, \boldsymbol{\theta} | \mathbf{A}) = p(\mathbf{x}_{c,k} | \mathbf{Y}_{\{c,k\}}, \mathbf{A})$$

 $p(\boldsymbol{\theta}, \mathbf{Y}_{\{c,k\}})$ 

$$\propto \prod_{l=1}^{L} \exp\left(-\frac{1}{\beta_0} \left(\mathbf{z}_{l,\{c,k\}}^T \mathbf{z}_{l,\{c,k\}} + \overbrace{f_{TSC}\left(\mathbf{z}_{l,\{c,k\}}, g_A(\mathbf{Z}_{\{c,k\}\setminus l})\right)}^{TSC}\right)\right)$$

Proposed Model

# Joint Modeling with Collaboration

• 
$$p(\mathbf{x}_{c,k}, \mathbf{Y}_{\{c,k\}}, \boldsymbol{\theta}, \mathbf{A}) = p(\mathbf{x}_{c,k}, \mathbf{Y}_{\{c,k\}}, \boldsymbol{\theta} | \mathbf{A}) p(\mathbf{A})$$

• with 
$$p(\mathbf{x}_{c,k}, \mathbf{Y}_{\{c,k\}}, \boldsymbol{\theta} | \mathbf{A}) = p(\mathbf{x}_{c,k} | \mathbf{Y}_{\{c,k\}}, \mathbf{A})$$

$$\underbrace{p(\boldsymbol{\theta},\mathbf{Y}_{\{c,k\}})}$$

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• and 
$$p(\mathbf{x}_{c,k}|\mathbf{Y}_{\{c,k\}},\mathbf{A})$$

collaboration corrective discriminative prior nonlinear transform error

 $\propto \prod_{l=1}^{L} \exp\left(-\frac{1}{\beta_0} \left(\mathbf{z}_{l,\{c,k\}}^T \mathbf{z}_{l,\{c,k\}} + \overbrace{f_{TSC}(\mathbf{z}_{l,\{c,k\}}, g_A(\mathbf{Z}_{\{c,k\}\setminus l}))}^{\text{self collaborative component}}\right)\right)$ 

 $\blacktriangleright \mathbf{z}_{l,\{c,k\}} = \mathbf{A}_l \mathbf{x}_{c,k} - \mathbf{y}_{l,\{c,k\}}$ 

- ►  $f_{TSC}(.) : \mathbb{R}^M \times \mathbb{R}^M \to \mathbb{R}$ : Target Specific Collaboration Function
- ▶  $g_A(.) : \mathbb{R}^M \times \cdots \times \mathbb{R}^M \to \mathbb{R}$ : Collaboration Aggregation Function

Proposed Model

# Joint Modeling with Collaboration

• 
$$p(\mathbf{x}_{c,k}, \mathbf{Y}_{\{c,k\}}, \boldsymbol{\theta}, \mathbf{A}) = p(\mathbf{x}_{c,k}, \mathbf{Y}_{\{c,k\}}, \boldsymbol{\theta} | \mathbf{A}) p(\mathbf{A})$$

• with  $p(\mathbf{x}_{c,k}, \mathbf{Y}_{\{c,k\}}, \boldsymbol{\theta} | \mathbf{A}) = p(\mathbf{x}_{c,k} | \mathbf{Y}_{\{c,k\}}, \mathbf{A})$ 

$$\underbrace{p(\boldsymbol{\theta},\mathbf{Y}_{\{c,k\}})}$$

• and 
$$p(\mathbf{x}_{c,k}|\mathbf{Y}_{\{c,k\}},\mathbf{A})$$

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collaboration corrective discriminative prior nonlinear transform  $\operatorname{error}$ 

self collaborative component

$$\propto \prod_{l=1}^{L} \exp\left(-\frac{1}{\beta_0} \left(\mathbf{z}_{l,\{c,k\}}^T \mathbf{z}_{l,\{c,k\}} + \overbrace{f_{TSC}\left(\mathbf{z}_{l,\{c,k\}}, g_A(\mathbf{Z}_{\{c,k\}\setminus l})\right)}^{L}\right)\right)$$

z<sub>l,{c,k}</sub> = A<sub>l</sub>x<sub>c,k</sub> - y<sub>l,{c,k}</sub>
 f<sub>TSC</sub>(.): ℝ<sup>M</sup>×ℝ<sup>M</sup> → ℝ: Target Specific Collaboration Function
 g<sub>A</sub>(.): ℝ<sup>M</sup>×···×ℝ<sup>M</sup> → ℝ: Collaboration Aggregation Function
 p(θ, Y<sub>{c,k}</sub>) = ∏<sub>l=1</sub><sup>L</sup> p(θ<sub>l</sub>|y<sub>l,{c,k}</sub>)p(y<sub>l,{c,k}</sub>)

Proposed Model

# Joint Modeling with Collaboration

$$\bullet \quad p(\mathbf{x}_{c,k},\mathbf{Y}_{\{c,k\}},\boldsymbol{\theta},\mathbf{A}) = p(\mathbf{x}_{c,k},\mathbf{Y}_{\{c,k\}},\boldsymbol{\theta}|\mathbf{A})p(\mathbf{A})$$

• with  $p(\mathbf{x}_{c,k}, \mathbf{Y}_{\{c,k\}}, \boldsymbol{\theta} | \mathbf{A}) = p(\mathbf{x}_{c,k} | \mathbf{Y}_{\{c,k\}}, \mathbf{A})$ 

$$\underbrace{p(\boldsymbol{\theta},\mathbf{Y}_{\{c,k\}})}$$

• and 
$$p(\mathbf{x}_{c,k}|\mathbf{Y}_{\{c,k\}},\mathbf{A})$$

т

collaboration corrective discriminative prior nonlinear transform  $\operatorname{error}$ 

self collaborative component

$$\propto \prod_{l=1}^{L} \exp\left(-\frac{1}{\beta_0} \left(\mathbf{z}_{l,\{c,k\}}^T \mathbf{z}_{l,\{c,k\}} + \overbrace{f_{TSC}\left(\mathbf{z}_{l,\{c,k\}}, g_A(\mathbf{Z}_{\{c,k\}\setminus l})\right)}^{L}\right)\right)$$

► 
$$\mathbf{z}_{l,\{c,k\}} = \mathbf{A}_{l}\mathbf{x}_{c,k} - \mathbf{y}_{l,\{c,k\}}$$
  
►  $f_{TSC}(.) : \mathbb{R}^{M} \times \mathbb{R}^{M} \to \mathbb{R}$ : Target Specific Collaboration Function  
►  $g_{A}(.) : \mathbb{R}^{M} \times \cdots \times \mathbb{R}^{M} \to \mathbb{R}$ : Collaboration Aggregation Function  
•  $p(\boldsymbol{\theta}, \mathbf{Y}_{\{c,k\}}) = \prod_{l=1}^{L} p(\boldsymbol{\theta}_{l} | \mathbf{y}_{l,\{c,k\}}) p(\mathbf{y}_{l,\{c,k\}})$ 

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Proposed Model

# Self-Collaboration Discriminative Prior and its Measure

Unsupervised Discriminative Prior:

$$p(\boldsymbol{\theta}, \mathbf{Y}_{\{c,k\}}) = \prod_{l} p(\boldsymbol{\theta}_{l} | \mathbf{y}_{l,\{c,k\}}) p(\mathbf{y}_{l,\{c,k\}})$$

where

**Dissimilarity Parameters** 

• 
$$\boldsymbol{\theta} = \{\boldsymbol{\theta}_1, ..., \boldsymbol{\theta}_L\}, \ \boldsymbol{\theta}_l = \{\boldsymbol{\theta}_{l,1}, \boldsymbol{\theta}_{l,2}\} = \{\{\overline{\{\boldsymbol{\tau}_{l,1}, ..., \boldsymbol{\tau}_{l,C1}\}}, \{\boldsymbol{\nu}_{l,1}, ..., \boldsymbol{\nu}_{l,C2}\}\}$$

Similarity Parameters

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• 
$$p(\boldsymbol{\theta}_{l}|\mathbf{y}_{l,\{c,k\}}) \propto \exp(-\frac{1}{\beta_{I}} \widehat{l_{I}(\boldsymbol{\theta}_{l},\mathbf{y}_{l,\{c,k\}})})$$
  
•  $p(\mathbf{y}_{l,\{c,k\}}) \propto \exp(-\frac{\|\mathbf{y}_{l,\{c,k\}}\|_{1}}{\beta_{l,1}}) \Rightarrow \text{sparsity inducing prior}$   
•  $l_{I}(\boldsymbol{\theta}_{l},\mathbf{y}_{l,\{c,k\}}) = \min_{1 \leq c_{1} \leq C_{1}} \max_{1 \leq c_{2} \leq C_{2}} \left( \operatorname{Sim}(\mathbf{y}_{l,\{c,k\}},\boldsymbol{\tau}_{l,c_{1}}) \right)$ 

$$+\mathrm{Sim}(\mathbf{y}_{l,\{c,k\}},\boldsymbol{\nu}_{l,c2})+\mathrm{Stg}(\mathbf{y}_{l,\{c,k\}},\boldsymbol{\tau}_{l,c1})\Big)$$

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Proposed Model

### Similarity and Strength Measures

$$l_{I}(\boldsymbol{\theta}_{l}, \mathbf{y}_{l, \{c, k\}}) = \min_{1 \leq c1 \leq C1} \max_{1 \leq c2 \leq C2} \left( \operatorname{Sim}(\mathbf{y}_{l, \{c, k\}}, \boldsymbol{\tau}_{l, c1}) + \operatorname{Sim}(\mathbf{y}_{l, \{c, k\}}, \boldsymbol{\nu}_{l, c2}) + \operatorname{Stg}(\mathbf{y}_{l, \{c, k\}}, \boldsymbol{\tau}_{l, c1}) \right)$$

$$\begin{aligned} &\operatorname{Sim}(\mathbf{y}_{l,\{c,k\}},\mathbf{y}_{l,\{c1,k1\}}) \ = \ \|\mathbf{y}_{l,\{c,k\}}^{-} \odot \mathbf{y}_{l,\{c1,k1\}}^{-}\|_{1} + \|\mathbf{y}_{l,\{c,k\}}^{+} \odot \mathbf{y}_{l,\{c1,k1\}}^{+}\|_{1} \\ &\operatorname{Stg}(\mathbf{y}_{l,\{c,k\}},\mathbf{y}_{l,\{c1,k1\}}) \ = \ \|\mathbf{y}_{l,\{c,k\}} \odot \mathbf{y}_{l,\{c1,k1\}}\|_{2}^{2} \end{aligned}$$

where

▶ ⊙ denotes Hadamard product

$$\mathbf{y}_{l,\{c,k\}} = \mathbf{y}_{l,\{c,k\}}^{+} - \mathbf{y}_{l,\{c,k\}}^{-} \Rightarrow \mathbf{y}_{l,\{c,k\}}^{+} = \max(\mathbf{y}_{l,\{c,k\}}, \mathbf{0}) \\ \mathbf{y}_{l,\{c,k\}}^{-} = \max(-\mathbf{y}_{l,\{c,k\}}, \mathbf{0})$$

• 
$$\mathbf{y}_{l,\{c1,k1\}} = \mathbf{y}_{l,\{c1,k1\}}^+ - \mathbf{y}_{l,\{c1,k1\}}^-$$

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# Illustration of Similarity and Dissimilarity Measures

$$\begin{aligned} \operatorname{Sim}(\mathbf{y}_{l,\{c,k\}},\mathbf{y}_{l,\{c1,k1\}}) &= \|\mathbf{y}_{l,\{c,k\}}^{-} \odot \mathbf{y}_{l,\{c1,k1\}}^{-}\|_{1} + \|\mathbf{y}_{l,\{c,k\}}^{+} \odot \mathbf{y}_{l,\{c1,k1\}}^{+}\|_{1} \\ \operatorname{Dis}(\mathbf{y}_{l,\{c,k\}},\mathbf{y}_{l,\{c1,k1\}}) &= \|\mathbf{y}_{l,\{c,k\}}^{+} \odot \mathbf{y}_{l,\{c1,k1\}}^{-}\|_{1} + \|\mathbf{y}_{l,\{c,k\}}^{-} \odot \mathbf{y}_{l,\{c1,k1\}}^{+}\|_{1} \end{aligned}$$



14/27

# Illustration of Similarity and Dissimilarity Measures

$$\begin{aligned} \operatorname{Sim}(\mathbf{y}_{l,\{c,k\}},\mathbf{y}_{l,\{c1,k1\}}) &= \|\mathbf{y}_{l,\{c,k\}}^{-} \odot \mathbf{y}_{l,\{c1,k1\}}^{-}\|_{1} + \|\mathbf{y}_{l,\{c,k\}}^{+} \odot \mathbf{y}_{l,\{c1,k1\}}^{+}\|_{1} \\ \operatorname{Dis}(\mathbf{y}_{l,\{c,k\}},\mathbf{y}_{l,\{c1,k1\}}) &= \|\mathbf{y}_{l,\{c,k\}}^{+} \odot \mathbf{y}_{l,\{c1,k1\}}^{-}\|_{1} + \|\mathbf{y}_{l,\{c,k\}}^{-} \odot \mathbf{y}_{l,\{c1,k1\}}^{+}\|_{1} \end{aligned}$$



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## Illustration of Similarity and Dissimilarity Measures

$$\begin{aligned} \operatorname{Sim}(\mathbf{y}_{l,\{c,k\}},\mathbf{y}_{l,\{c1,k1\}}) &= \|\mathbf{y}_{l,\{c,k\}}^{-} \odot \mathbf{y}_{l,\{c1,k1\}}^{-}\|_{1} + \|\mathbf{y}_{l,\{c,k\}}^{+} \odot \mathbf{y}_{l,\{c1,k1\}}^{+}\|_{1} \\ \operatorname{Dis}(\mathbf{y}_{l,\{c,k\}},\mathbf{y}_{l,\{c1,k1\}}) &= \|\mathbf{y}_{l,\{c,k\}}^{+} \odot \mathbf{y}_{l,\{c1,k1\}}^{-}\|_{1} + \|\mathbf{y}_{l,\{c,k\}}^{-} \odot \mathbf{y}_{l,\{c1,k1\}}^{+}\|_{1} \end{aligned}$$



14/27

Proposed Model

### Problem Formulation

Nonlinear Transform Error  $\min_{\mathbf{Y},\boldsymbol{\theta},\mathbf{A}}\sum_{l=1}^{L} \left( \frac{1}{2} \|\mathbf{A}_{l}\mathbf{X} - \mathbf{Y}_{l}\|_{F}^{2} \right)$  $+\sum_{l=1}^{C}\sum_{l=1}^{K}\left(\overbrace{\lambda_{l,I}l_{I}(\boldsymbol{\theta}_{l},\mathbf{y}_{l,\{c,k\}})}^{\text{Discrimination Constraint}}+\overbrace{\lambda_{l,1}\|\mathbf{y}_{l,\{c,k\}}\|_{1}}^{\text{Sparsity Constraint}}\right)$  $c=1 \ k=1$ Target Specific Collaboration Error Linear Map Constraint +  $\frac{1}{L}$ Tr{ $(\mathbf{A}_{l}\mathbf{X} - \mathbf{Y}_{l})^{T}} \sum_{l1 \in \{1,...,L\} \setminus l} (\mathbf{A}_{l1}\mathbf{X} - \mathbf{Y}_{l1})$ } +  $\overline{\Omega(\mathbf{A}_{l})}$ 

$$\blacktriangleright \mathbf{Y} = [\mathbf{Y}_1, ..., \mathbf{Y}_L], \mathbf{A} = [\mathbf{A}_1, ..., \mathbf{A}_L], \boldsymbol{\theta} = \{\boldsymbol{\theta}_1, ..., \boldsymbol{\theta}_L\}$$

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15/27

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Learning Algorithm

# Learning Algorithm

We propose an iterative, alternating algorithm with three distinct stages:

- representation y<sub>l,{c,k}</sub> estimation with discriminative assignment
- discrimination parameters' heta estimation
- linear map A<sub>l</sub> estimation

We show that the problems at all stages have an **exact** or **approximate closed-form solutions**.

Learning Algorithm

#### Learning Algorithm

Stage 1: Representation Estimation with Discriminative Assignment

- Given data samples  $\mathbf{X}$  and current estimate  $\mathbf{A}_l$
- Discriminative representation estimation problem per Y<sub>l</sub> is decoupled and is formulated as:

$$\begin{split} \min_{\mathbf{Y}_{l}} \|\mathbf{A}_{l}\mathbf{X} - \mathbf{Y}_{l}\|_{F}^{2} + \frac{1}{L} \mathrm{Tr}\{\mathbf{Y}_{l}^{T}\sum_{l1\neq l}(\mathbf{Y}_{l1} - \mathbf{A}_{l1}\mathbf{X}))\} \\ + \sum_{c=1}^{C}\sum_{k=1}^{K} \left(\lambda_{l,I}l_{I}(\boldsymbol{\theta}_{l}, \mathbf{y}_{l,\{c,k\}}) + \lambda_{l,1}\|\mathbf{y}_{l,\{c,k\}}\|_{1}\right) \end{split}$$

Learning Algorithm

# Learning Algorithm

Stage 1: Representation Estimation with Discriminative Assignment

$$\min_{\mathbf{Y}_{l}} \|\mathbf{A}_{l}\mathbf{X} - \mathbf{Y}_{l}\|_{F}^{2} + \frac{1}{L} \operatorname{Tr} \{\mathbf{Y}_{l}^{T} \sum_{l1 \neq l} (\mathbf{Y}_{l1} - \mathbf{A}_{l1}\mathbf{X}))\} \\ + \sum_{c} \sum_{k} \left( \lambda_{l,l} l_{I}(\boldsymbol{\theta}_{l}, \mathbf{y}_{l,\{c,k\}}) + \lambda_{l,1} \|\mathbf{y}_{l,\{c,k\}}\|_{1} \right)$$

Nonlinear Transform Estimation closed-form:

$$\mathbf{y}|_{\{c1,c2\}} = \operatorname{sign}(\mathbf{b}) \odot \max(|\mathbf{b}| - \mathbf{p}, \mathbf{0}) \oslash \mathbf{n},$$

- Discriminative Assignment:
  - Part 1: Score Evaluation

 $l_{I}: s_{I}(c1, c2) = \sin(\mathbf{y}|_{\{c1, c2\}}, \boldsymbol{\tau}_{l, c1}) - \sin(\mathbf{y}|_{\{c1, c2\}}, \boldsymbol{\nu}_{l, c2}) + \operatorname{stg}(\mathbf{y}|_{\{c1, c2\}}, \boldsymbol{\tau}_{l, c1})$ 

Part 2: Class Assignment

$$\{\hat{c1}, \hat{c2}\} = \arg\min_{c1, c2} s_I(c1, c2), \quad \mathbf{y}_{l, \{c,k\}} = \mathbf{y}|_{\{\hat{c1}, \hat{c2}\}}$$

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Learning Algorithm

# Learning Algorithm

Stage 2: Discrimination Parameters Estimation

- ► Given the estimated representations  $\mathbf{y}_{l,\{c,k\}}$ , we update the parameters  $\boldsymbol{\theta}_l, \forall l \in \{1, ..., L\}$ .
- ► Note that for each y<sub>l,{c,k}</sub> the corresponding τ<sub>l,c1</sub> and ν<sub>l,c2</sub> are known from the previous stage.
- We formulate the problem associated to the update of single  $au_{l,c1}$  as follows:

$$\boldsymbol{\tau}_{l,c1} = \arg\min_{\boldsymbol{\tau}_{l,c1}} \frac{1}{2} \|\boldsymbol{\tau}_{l,c1}^{t-1} - \boldsymbol{\tau}_{l,c1}\|_{2}^{2} + \\ \lambda_{l,0} \sum_{c1} (\operatorname{Stg}(\mathbf{y}|_{\{c1,c2\}}, \boldsymbol{\tau}_{l,c1}) + \operatorname{Sim}(\mathbf{y}|_{\{c1,c2\}}, \boldsymbol{\tau}_{l,c1})).$$

• Analogous formulation for updating per single  $\nu_{l,c2}$ 

Learning Algorithm

#### Learning Algorithm Stage 3: Linear Map Estimation

- ▶ Given: data samples X, all  $Y = [Y_1, ..., Y_L]$ , and all A except  $A_l$
- ► Denote:  $\mathbf{W}_l = \mathbf{Y}_l \sum_{l1 \in \{1,...,L\} \setminus l} (\mathbf{A}_{l1}\mathbf{X} \mathbf{Y}_{l1})$

► The problem related to the estimation of the linear map A<sub>l</sub>, reduces to:

$$\begin{split} \min_{\mathbf{A}_l} \frac{1}{2} \|\mathbf{A}_l \mathbf{X} - \mathbf{W}_l\|_2^2 + \frac{\lambda_{l,2}}{2} \|\mathbf{A}_l\|_F^2 \\ + \frac{\lambda_{l,3}}{2} \|\mathbf{A}_l \mathbf{A}_l^T - \mathbf{I}\|_F^2 - \lambda_{l,4} \log |\det \mathbf{A}_l^T \mathbf{A}_l| \end{split}$$

We use an approximate closed-form solution

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### Quantifying a Discrimination Quality

- Transform parameter set:  $\mathcal{P}_t = \{\mathbf{A} = [\mathbf{A}_1, ..., \mathbf{A}_L]^T \in \Re^{M \times N}, \tau \mathbf{1} \in \Re^M \}$
- ▶ Expected similarity of all  $\mathbf{u}_{c,k} = [\mathbf{y}_{1,\{c,k\}}^T, ..., \mathbf{y}_{L,\{c,k\}}^T]^T$  across all the transform representations  $\mathbf{Y}_c$  that come from the different classes  $c1 \neq c$ :

$$D_{\ell_1}^{\mathcal{P}_t}(\mathbf{X}) = \sum_{c=1}^C \sum_{c1\neq c} \sum_{k=1}^K \sum_{k1\neq k} (\|\mathbf{u}_{c,k}^+ \odot \mathbf{u}_{c1,k1}^+\|_1 + \|\mathbf{u}_{c,k}^- \odot \mathbf{u}_{c1,k1}^-\|_1)$$

• Expected similarity using the positive and negative components of all  $\mathbf{u}_{c,k} = [\mathbf{y}_{1,\{c,k\}}^T,...,\mathbf{y}_{L,\{c,k\}}^T]^T$  across all the transform representations  $\mathbf{Y}_c$  that come from the same classes c:

$$D_{\ell_1,c}^{\mathcal{P}_t}(\mathbf{X}) = \sum_{c=1}^C \sum_{k=1}^K \sum_{k_1 \neq k} \left( \|\mathbf{u}_{c,k}^+ \odot \mathbf{u}_{c,k1}^+\|_1 + \|\mathbf{u}_{c,k}^- \odot \mathbf{u}_{c,k1}^-\|_1 \right)$$

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# Quantifying a Discrimination Quality

- ▶ Transform parameter set:  $\mathcal{P}_t = \{\mathbf{A} = [\mathbf{A}_1, ..., \mathbf{A}_L]^T \in \Re^{M \times N}, \tau \mathbf{1} \in \Re^M\}$
- ► Expected similarity of all  $\mathbf{u}_{c,k} = [\mathbf{y}_{1,\{c,k\}}^T, ..., \mathbf{y}_{L,\{c,k\}}^T]^T$  across all the transform representations  $\mathbf{Y}_c$  that come from the different classes  $c1 \neq c$ :

$$D_{\ell_1}^{\mathcal{P}_t}(\mathbf{X}) = \sum_{c=1}^C \sum_{c_1 \neq c} \sum_{k=1}^K \sum_{k_1 \neq k} (\|\mathbf{u}_{c,k}^+ \odot \mathbf{u}_{c_1,k_1}^+\|_1 + \|\mathbf{u}_{c,k}^- \odot \mathbf{u}_{c_1,k_1}^-\|_1)$$

• Expected similarity using the positive and negative components of all  $\mathbf{u}_{c,k} = [\mathbf{y}_{1,\{c,k\}}^T,...,\mathbf{y}_{L,\{c,k\}}^T]^T$  across all the transform representations  $\mathbf{Y}_c$  that come from the same classes c:

$$D_{\ell_1,c}^{\mathcal{P}_t}(\mathbf{X}) = \sum_{c=1}^C \sum_{k=1}^K \sum_{k1 \neq k} (\|\mathbf{u}_{c,k}^+ \odot \mathbf{u}_{c,k1}^+\|_1 + \|\mathbf{u}_{c,k}^- \odot \mathbf{u}_{c,k1}^-\|_1)$$

**Discrimination Power** for any pair of labels and dataset  $\mathbf{X} \in \Re^{M \times CK}$ :

$$\mathcal{I}^{t} = \log(D_{\ell_{1},c}^{\mathcal{P}_{t}}(\mathbf{X})) - \log(D_{\ell_{1}}^{\mathcal{P}_{t}}(\mathbf{X}) + \epsilon)$$

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# Quantifying a Discrimination Quality

- ▶ Transform parameter set:  $\mathcal{P}_t = \{\mathbf{A} = [\mathbf{A}_1, ..., \mathbf{A}_L]^T \in \Re^{M \times N}, \tau \mathbf{1} \in \Re^M\}$
- ► Expected similarity of all  $\mathbf{u}_{c,k} = [\mathbf{y}_{1,\{c,k\}}^T, ..., \mathbf{y}_{L,\{c,k\}}^T]^T$  across all the transform representations  $\mathbf{Y}_c$  that come from the different classes  $c1 \neq c$ :

$$D_{\ell_1}^{\mathcal{P}_t}(\mathbf{X}) = \sum_{c=1}^C \sum_{c1\neq c} \sum_{k=1}^K \sum_{k1\neq k} (\|\mathbf{u}_{c,k}^+ \odot \mathbf{u}_{c1,k1}^+\|_1 + \|\mathbf{u}_{c,k}^- \odot \mathbf{u}_{c1,k1}^-\|_1)$$

• Expected similarity using the positive and negative components of all  $\mathbf{u}_{c,k} = [\mathbf{y}_{1,\{c,k\}}^T, ..., \mathbf{y}_{L,\{c,k\}}^T]^T$  across all the transform representations  $\mathbf{Y}_c$  that come from the same classes c:

$$D_{\ell_1,c}^{\mathcal{P}_t}(\mathbf{X}) = \sum_{c=1}^C \sum_{k=1}^K \sum_{k_1 \neq k} \left( \| \mathbf{u}_{c,k}^+ \odot \mathbf{u}_{c,k1}^+ \|_1 + \| \mathbf{u}_{c,k}^- \odot \mathbf{u}_{c,k1}^- \|_1 \right)$$

• Discrimination Power for any pair of labels and dataset  $\mathbf{X} \in \Re^{M \times CK}$ :

$$\mathcal{I}^{t} = \log(D_{\ell_{1},c}^{\mathcal{P}_{t}}(\mathbf{X})) - \log(D_{\ell_{1}}^{\mathcal{P}_{t}}(\mathbf{X}) + \epsilon)$$

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# Mutual Coherence & Condition Number

	AR	YALE B	COIL20	NORB
$\frac{1}{L}\sum_{l}\mu(\mathbf{A}_{l})$	2.1e-4	1e-4	1.9e-4	3.1e-4
$\frac{1}{L} \sum_{l} C_n(\mathbf{A}_l)$	16.1	26.3	18	19.1

Table: The cumulative expected mutual coherence  $\frac{1}{L}\sum_{l}\mu(\mathbf{A}_{l})$  and the cumulative conditioning number  $\frac{1}{L}\sum_{l}C_{n}(\mathbf{A}_{l})$  for the linear maps  $\mathbf{A}_{l}, l \in \{1, ..., 6\}$  with dimensions  $6570 \times N$ , where N is the dimensionality of the input data

#### Discrimination Power Evaluation

	AR	YALE B	COIL20	NORB
$\mathcal{I}^o$	2.13	1.45	1.18	0.41
$\mathcal{I}^R$	2.41	1.66	1.61	0.40
$\mathcal{I}^S$	2.71	1.76	1.92	0.40
$\mathcal{I}^*$	3.04	2.14	2.63	0.42

Table: The discrimination power in the original domain, after random transform, after learned sparsifying transform and after learned self-collaborating target specific nonlinear transform with dimension M = 6570.

### **Recognition Evaluation**

	AR	YALE B	COIL20	NORB
original domain $[\%]$	96.1	95.4	96.8	97
proposed $[\%]$	97.1	97.1	97.8	96.8

Table: The recognition results on the databases AR, YALE B, COIL20 and NORB, using k-NN on the sparse representations using our model with dimension M = 6570.

#### Discrimination Power and Recognition Comparison

	YALE B	MNIST	YA	LE B	Μ	NIST
	${\mathcal I}$	$\mathcal{I}$		Acc. [%]	]	Acc. [%]
dlsi	0.71	0.67		96.5		98.74
fddl	0.87	0.63		97.5		96.31
copar	0.57	0.54		98.3		96.41
lrsdl	0.42	0.40		98.7		—
*	0.90	0.81	k- $nn$	97.1	k- $nn$	97.32
*	0.90	0.81	l- $svm$	98.8	l- $svm$	98.45
	a)			b)		c)

Table: a) The discrimination power for the methods dlsi, fddl, copar and lrsdl and the proposed method \*, b), c) The recognition results on the Extended Yale B and MNIST

#### Recognition Accuracy Comparison with State-of-the-Art

MNIS	ЯΤ	F-MNI	ST	SVHN	
Method	Acc.	Method	Acc.	Method	Acc.
lif-cnn [1]	98.37	log-reg [5]	84.00	ssae [7]	89.70
s-cw-a [2]	98.62	rf-c [5]	87.70	c-km [7]	90.60
reg-1 [3]	99.08	svc [5]	89.98	s-cw-a [2]	93.10
f-max [4]	99.65	cnn [6]	92.10	tma [8]	98.31
* k-nn	97.11	* k- $nn$	88.10	* k- $nn$	86.41
* l-svm	99.10	* $l$ - $svm$	92.22	* $l$ -svm	90.28

Table: Recognition accuracy comparison between sota and 1) k Nearest Neighbor (k-nn) search and 2) linear SVM (l-svm) that use the Sparsifying Nonlinear Transform (sNT) representations from our model on extracted HOG image features. We use our algorithm to learn the model on the HOG features. Then we get the sNT representations with dimensionality 9800 for the respective training and test sets. Considering the obtained result for database SVHN, we note that the unlabeled training data from the respective database was not used during the learning of the corresponding model.

#### **Conclusions:**

- We introduced a novel collaboration structured model with minimum information loss, collaboration corrective and discriminative priors for joint learning of multiple nonlinear transforms.
- An efficient solution was proposed by an iterative, coordinate descend algorithm.
- The introduced discrimination measure and the recognition accuracy on the used databases showed promising performance and advantages w.r.t. state-of-the-art methods.



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